



THE UNITED STATES NAVAL WAR COLLEGE

GRADUATION  
CEREMONY



NEWPORT, RHODE ISLAND  
SPRUANCE AUDITORIUM

March 4, 2014



## HISTORY OF THE U.S. NAVAL WAR COLLEGE

Founded in Newport, Rhode Island on October 6, 1884, the U.S. Naval War College is the oldest war college in the world. Commodore Stephen B. Luce was the first president and organized the War College as a place to study the highest aspects of the military profession, defining it as “a place of original research on all questions relating to war and to statesmanship connected with war, or the prevention of war.” These foundations were built upon by Captain Alfred Thayer Mahan, second president of the College, who had originally been selected by Luce to initiate the course in Naval History. Of all the men who were to influence the institution in its early years, none was to have a more profound impact. Mahan’s views deeply affected such leaders as Henry Cabot Lodge and Theodore Roosevelt and through them and others helped shape the United States’ destiny at the turn of the century. Mahan’s Naval War College lectures, published as *The Influence of Sea Power Upon History, 1660–1783* (1890), gained him worldwide acclaim and first established the U.S. Naval War College as an internationally respected institution. Other early faculty members made pioneering contributions to hallmark areas of enduring interest for the College. Among them were Charles Stockton, who drafted the first international law code for naval warfare in 1898, and William McCarty Little, who developed the art of naval war gaming between 1886 and 1914.

Functioning continuously since 1884, except for brief periods during the Spanish-American War and World War I, the War College numbers among its graduates such distinguished naval officers as Admirals Sims, Spruance, King, Nimitz, and Halsey, in addition to many others who today are holding prominent positions, such as Admiral James “Sandy” Winnefeld (Vice Chairman of the Joint Chiefs of Staff), Admiral Robert Papp (Commandant of the U.S. Coast Guard), General Raymond Odierno (Chief of Staff of the Army), and General David M. Rodriguez (Commander, U.S. Africa Command).

The work begun more than one hundred years ago by Luce and Mahan continues today. As graduates well know, the curriculum is both demanding and rewarding. For the military professional, the unique education provided by the Naval War College is unrivaled. In recognition of the academic rigor and educational excellence of its program, the U.S. Naval War College has been accredited since 1991 by the New England Association of Schools and Colleges to award a Master of Arts degree in National Security and Strategic Studies.

## THE ACADEMIC PROCESSION AND REGALIA

For centuries, institutions of higher learning have dignified their ceremonies with an academic procession, a custom descending from the clerical procession. The procession is led by a marshal, followed by the student body and the academicians or faculty, attired in the robes prescribed for their respective degrees. Distinguished visitors and delegates follow the faculty, and last in the procession comes the president of the institution.

Each member of an academic procession ordinarily wears a cap, gown, and hood. In the procession today military faculty will not wear academic gowns. The earliest illustration depicts gowns worn at Oxford University that somewhat resemble cassocks worn by English teachers who found good use for the hood, or cowl, as protection against the weather. A uniform American system of academic heraldry displays the level of degree, the field of study in which it was earned, and the institution by which it was granted.

It is the hood which provides academic regalia its color and offers the most information about its wearer. The silk lining of the hood bears the color, or colors, of the degree-granting college or university; its velvet facing reveals the color assigned to each area of study. The Bachelor's gown is relatively simple, falling in straight lines from an elaborate yoke and having pointed sleeves. The Master's gown is slightly more elaborate and fastens about the wrist. The Doctor's gown is marked by velvet panels down the front and around the neck, as well as by three bars of the same material on the well-shaped sleeves. It is cut more fully and may be ornamented. The hood worn is three feet in length for Bachelors, three and one-half feet for Masters, and four feet for Doctors, with velvet trimming of two, three, and five inches in width respectively.

The edging, or facing, velvet of academic hoods indicates the area of study. The principal scholarly fields seen in the procession today include:

<i>Philosophy</i>	<i>Dark Blue</i>
<i>Arts, Letters, Humanities</i>	<i>White</i>
<i>Business Administration</i>	<i>Light Brown</i>
<i>Economics</i>	<i>Copper</i>
<i>Engineering</i>	<i>Orange</i>
<i>Law</i>	<i>Purple</i>
<i>International Affairs/Public Administration</i>	<i>Peacock Blue</i>

The American mortarboard, derived from the soft Oxford cap, is black. Its tassel, for holders of the bachelor's and master's degree, is usually black, while gold tassels are worn by holders of doctoral degrees and heads of institutions. The tassel is worn on the right side of the cap by undergraduates, on the left by holders of bachelor's and higher degrees.

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# PROGRAM

## **Master of Ceremonies**

Captain John Griffin, U.S. Navy  
Dean of Students

## **Procession of Students**

## **Arrival of Official Party\***

## **National Anthem\***

## **Invocation\***

Chaplain Douglas E. Rosander  
Commander, U.S. Navy  
U.S. Naval War College Chaplain

## **Graduation Address**

Rear Admiral Peter A. Gumataotao, U.S. Navy  
Commander, Naval Surface Force Atlantic

## **Presentation of Diplomas**

## **Charge to Graduates**

Rear Admiral Walter E. Carter, Jr., U.S. Navy  
President, U.S. Naval War College

## **Benediction\***

Chaplain Douglas E. Rosander  
Commander, U.S. Navy  
U.S. Naval War College Chaplain

\*Guests please stand

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**Rear Admiral Walter E. Carter, Jr.  
President, U.S. Naval War College**

Rear Admiral Walter E. “Ted” Carter, Jr., became the 54th President of the U.S. Naval War College on 2 July 2013. A native of Burrillville, R.I., he graduated from the U.S. Naval Academy in 1981, was designated a Naval Flight Officer in 1982, and graduated from the Navy Fighter Weapons School, Top Gun, in 1985.

His career as an aviator includes sea assignments in Fighter Squadron VF-161 on board USS *Midway* (CV 41), in VF-21 “Freelancers” on board USS *Independence* (CV 62), and in Carrier Air Wing Five (CVW 5). He commanded the VF-14 “Tophatters,” and served as Executive Officer of USS *Harry S. Truman* (CVN 75), culminating in command of USS *Camden* (AOE 2) and USS *Carl Vinson* (CVN 70). His subsequent Fleet-command assignment was Commander of the *Enterprise* Carrier Strike Group (CSG 12).

Shore assignments include instructor duty in VF-124 “Gunslingers”; Chief of Staff for Fighter Wing Pacific; Executive Assistant to the Deputy Commander, U.S. Central Command; Chief of Staff for Joint Warfighting Center, U.S. Joint Forces Command; and Commander, Joint Enabling Capabilities Command, where he also served as lead for the Transition Planning Team during the disestablishment of U.S. Joint Forces Command. He was most recently charged with leading Task Force RESILIENT as Director, 21st Century Sailor Office (N17).

Carter is the recipient of various personal awards, including the Defense Superior Service Medal (two awards), Legion of Merit (three awards), Distinguished Flying Cross with Combat V, Bronze Star, Air Medal (two with Combat V and five strike/flight), and Navy and Marine Corps Commendation Medal (two with Combat V). He was awarded the Vice Admiral James Bond Stockdale Leadership Award and the U.S. Navy League’s John Paul Jones Award for Inspirational Leadership. Carter was also appointed an Honorary Master Chief by the Master Chief Petty Officer of the Navy.

He has accumulated 6,150 flight hours in F-4, F-14, and F-18 aircraft and has made 2,016 carrier-arrested landings, the record among all active and retired U.S. Naval Aviation designators. He has also flown 125 combat missions in support of joint operations.



**Rear Admiral Peter A. Gumataotao  
Commander, Naval Surface Force Atlantic**

A native of Guam, Rear Adm. Gumataotao joined the Navy in 1976 and attended the Naval Academy Preparatory School in Newport, R.I. He is a 1981 graduate of the United States Naval Academy and earned his Master of Arts in National Security and Strategic Studies from the U.S. Naval War College in 1994. He is currently Commander, Naval Surface Force Atlantic.

A surface warfare officer, Gumataotao has deployed extensively to the Western Pacific, Indian Ocean, and Arabian Gulf. His sea assignments include USS *Bagley* (FF 1069), USS *Worden* (CG 18), USS *Reuben James* (FFG 57), and Destroyer Squadron 31 (DESRON 31). His previous command-at-sea tours include USS *Curtis Wilbur* (DDG 54), USS *Decatur* (DDG 73), and Commander, Destroyer Squadron 31.

Ashore, Gumataotao served as assistant surface operations officer and surface systems analysis officer for Commander, U.S. 3rd Fleet; a fellow for the Chief of Naval Operations Strategic Studies Group at the Center for Naval Analysis in Washington, D.C.; a congressional liaison for Surface Programs at the Navy Office of Legislative Affairs; current operations officer for Commander, Pacific Fleet; chief of Division for Current Operations for the Pacific Command headquarters; and chief of staff for Naval Surface Forces.

His flag assignments were Commander, U.S. Naval Forces Korea for U.S. Pacific Fleet; U.S. naval regional commander for Naval Installations Command; Commander, Task Force 78 for U.S. 7th Fleet; naval component commander of United Nations Command; and deputy naval component commander for Combined Forces Command, Korea and Commander, Carrier Strike Group 11 (*Nimitz* Strike Group) and assistant deputy chief of naval operations for Operations, Plans and Strategy (N3/N5B).

Gumataotao's decorations include the Defense Superior Service Medal, Legion of Merit, Meritorious Service Medal, and other personal, unit, and campaign awards. He was also the recipient of the first Admiral Zumwalt Award for visionary leadership in 2001.



**Ambassador (ret.) Mary Ann Peters  
Provost, U.S. Naval War College**

Ambassador Mary Ann Peters became the fourth provost of the U.S. Naval War College on September 18, 2008. Previously, she was dean of academics of the College of International and Security Studies at the George C. Marshall European Center for Security Studies in Garmisch-Partenkirchen, Germany. Prior to becoming the dean of the college, Ambassador Peters served as associate director for international liaison at the Marshall Center.

Ambassador Peters spent more than 30 years as a career diplomat with the U.S. Department of State. From 2000 to 2003, Ambassador Peters served as the U.S. Ambassador to Bangladesh, leading the mission's efforts in support of the war on terrorism and other key U.S. foreign policy goals. She received a Presidential Meritorious Service Award in 2003 for her work in Bangladesh. Prior to her posting in Dhaka, Ambassador Peters was the deputy chief of mission at the United States Embassy in Ottawa, Canada, responsible for the management of the embassy and supervision of the six U.S. consulates general in Canada.

From 1995 to 1997, Ambassador Peters served in the White House as Director for European and Canadian Affairs at the National Security Council. Among other portfolios in this position, Ambassador Peters worked on the diplomatic and security aspects of the search for peace in Northern Ireland. From 1993 to 1994, Ambassador Peters served as deputy assistant secretary of state with oversight responsibility for U.S. relations with 19 Western European countries and Canada. In this capacity she acted as the U.S. chair of the U.S.-Canada military coordination body, the Permanent Joint Board on Defense. A senior diplomat, fluent in six foreign languages, Ambassador Peters has also served in Sofia, Bulgaria, as deputy chief of mission; in Moscow as economic counselor; and in Mandalay, Burma, as principal officer. From 1988 to 1990, Ambassador Peters was the deputy director of the Office of Pakistan, Afghanistan and Bangladesh Affairs in the State Department. She began her career as a vice-consul in Frankfurt in 1975.

Ambassador Peters holds a Bachelor of Arts degree from Santa Clara University and a Master's in International Studies from the Johns Hopkins School of Advanced International Studies. Her formal education also included courses at the Institut d'Etudes Politiques in Paris. She is married to Timothy McMahan, a teacher. They have two children: Maggie and Blaise.

Ambassador Peters is a member of the Council on Foreign Relations and Women in International Security.



**Dr. John F. Garofano**  
**Dean, Academic Affairs**  
**U.S. Naval War College**

The Dean of Academic Affairs provides leadership and oversight of the resident and College of Distance Education curricula, and is responsible for securing accreditation for the M.A. Degree by the New England Association of Schools and Colleges (NEASC) and for Joint Professional Military Education (JPME) Phases I and II by the Chairman of the Joint Chiefs of Staff. The Dean determines standards and procedures for academic integrity, learning outcomes, testing methods, faculty development opportunities, and faculty performance. During the past year the Dean has guided the implementation of a digitized curriculum at the College.

Dr. Garofano assumed duties as Academic Dean in July 2009 after teaching in the National Security Affairs and Strategy and Policy Departments, where he developed curriculum and taught on international relations theory, military intervention, civil-military relations, and the Korean and Vietnam Wars. He held the CAPT Jerome Levy Chair in Economic Geography from 2006 to 2010, introducing lecture series on economics and running international conferences on the subject, the latest resulting in *Deep Currents and Rising Tides: The Indian Ocean and International Security*, co-edited with Dr. Andrea Dew, published by Georgetown University Press in April 2013.

Dr. Garofano's research interests include military intervention, Asian security, and the making of U.S. foreign policy. Publications include *The Intervention Debate: Towards a Posture of Principled Judgment* (Strategic Studies Institute: 2002), *Clinton's Foreign Policy: A Documentary Record* (Kluwer: 2003), and articles in *International Security*, *Asian Survey*, *Contemporary Southeast Asia*, *Orbis*, and the *Naval War College Review*. He remains active in the study of Southeast Asia, civil-military relations, and the ongoing wars.

In 2011 Dr. Garofano deployed to Helmand Province, Afghanistan, to support the First Marine Expeditionary Force (I MEF) in areas related to assessment and red-teaming. He has received Meritorious Civilian Service Awards from the Department of the Army and the Department of the Navy, and is the recipient of fellowships from the MacArthur Foundation, the Smith-Richardson Foundation, the Olin Institute, and numerous other research and travel grants. Currently he is Senior Fellow for Defense at the Center for National Policy.

Prior to joining the War College Dean Garofano was a Senior Fellow at the Kennedy School of Government. He has taught at the U.S. Army War College, the Five Colleges of Western Massachusetts, and the University of Southern California. Dr. Garofano received the Ph.D. and M.A. in Government from Cornell University, an M.A. in Security Studies from the Johns Hopkins School of Advanced International Studies (Bologna/Washington), and the B.A. in History from Bates College. He is a native of Lynn, MA.



**Professor William R. Spain**  
**Associate Provost, U.S. Naval War College**

Since 2001, Bill Spain has been involved in nearly every aspect of the U.S. Naval War College and Navy professional military education (PME), assisting six consecutive Presidents and four Provosts with the Naval War College's academic programs, implementing the CNO's professional military education continuum, developing the Navy's Path to Jointness, and devising a systemic approach to embedding regional and cultural education throughout Navy PME. In addition to his principal duties, he teaches two courses in the elective program—"Modern American Foreign Policy" and the "American Revolutionary War & the Colonial Military Tradition."

Before he retired from the United States Marine Corps, Colonel Spain served as the Chairman, Joint Military Operations Department, Special Assistant to the Dean of Academics for Joint Professional Education, and the H. M. Smith Chair of Expeditionary Warfare at the Naval War College. During his thirty years of active service, he commanded in three of the four Marine Corps divisions and served in all four.

Professor Spain's civilian education includes an MIT fellowship for Seminar XXI, doctoral studies and an MA in International Relations from Salve Regina University, and a BA in History from Randolph-Macon College.

Professor Spain has been awarded the Department of the Navy Superior Civilian Service and Meritorious Civilian Service Awards. In 2009, Bill received the Bud Gifford Leadership Award recognizing him as Rhode Island's Federal Supervisor-of-the-Year.



## COLLEGE OF NAVAL WARFARE

Commander Byron V. Alexander, U.S. Navy  
Captain William S. Anderson, U.S. Navy  
Commander Stephanie J. Butler, U.S. Navy  
Commander Leonard W. Caver, U.S. Navy  
Lieutenant Colonel Andrew J. Chevalier, U.S. Army National Guard  
Lieutenant Colonel Mark H. Clingan, U.S. Marine Corps  
Commander Christopher G. Dobson, U.S. Navy  
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Commander Brent C. Gaut, U.S. Navy  
Commander Robert Gerstner, SC, U.S. Navy  
Lieutenant Colonel Eric Allen Gillis, U.S. Marine Corps  
Commander Todd S. Glasser, U.S. Navy  
Colonel Kim J. Hodges, U.S. Army  
Commander Pavao A. Huldisch, U.S. Navy  
Commander Bradley L. Kinkead, U.S. Navy  
Colonel Lawrence M. Landon, U.S. Marine Corps  
Lieutenant Colonel Stephen J. Lightfoot, U.S. Marine Corps  
Commander Price Joseph Lockard, U.S. Navy  
Commander Christopher D. MacMillan, U.S. Navy  
Lieutenant Commander Yolanda K. Mason, U.S. Navy  
Lieutenant Commander Brett Oster, U.S. Navy  
Commander Barry R. Parker, U.S. Navy  
Commander Henry Primes Pierce IV, U.S. Navy  
Captain Gregory P. Reilly, U.S. Navy  
Commander Thomas A. Ryno, U.S. Navy  
Commander Gabriel E. Soltero, U.S. Navy  
Commander Michael T. Spencer, U.S. Navy  
Commander Bradley W. Story, U.S. Navy  
Commander Richard A. Van Derostyne, U.S. Navy  
Lieutenant Colonel Ricardo LaMonte Warfield, U.S. Army  
Commander Hiram J. Weedon, U.S. Navy  
Commander Chris F. White, U.S. Navy

## COLLEGE OF NAVAL COMMAND AND STAFF

Major Neil G. Armstrong, U.S. Army  
Lieutenant Commander Jonathan Louis Baron, U.S. Navy  
Lieutenant Diana Concetta Blevins, SC, U.S. Navy  
Major Kirk J. Bush, U.S. Marine Corps  
Lieutenant Commander Phillip Casha, U.S. Navy  
Lieutenant Commander Benjamin D. Cone, U.S. Navy  
Lieutenant Commander Kim DaCosta-Azar, U.S. Navy  
Lieutenant Commander Christopher S. Denny, U.S. Navy  
Lieutenant Commander Ryan P. Dexter, U.S. Navy  
Lieutenant Commander Timothy A. DiPietropolo, U.S. Navy  
Lieutenant Commander Adam Drayton, U.S. Navy  
Lieutenant Commander Patrick M. Dziekan, U.S. Navy  
Lieutenant Commander Jonathan J. Faraco, U.S. Navy  
Major John D. Fay, Jr., U.S. Army  
Lieutenant Commander Brian Joseph Felloney, U.S. Navy  
Lieutenant Commander Mitchell H. Finke, U.S. Navy  
Commander John K. Fleming, U.S. Navy  
Commander Paul N. Flores, U.S. Navy  
Lieutenant Commander Erich Charles Frandrup, U.S. Navy  
Major Jason M. Gallagher, U.S. Army  
Lieutenant Commander Paul Real Giguere, U.S. Navy  
Lieutenant Commander Leonardo Giovannelli, U.S. Navy  
Lieutenant Commander Patrick Allan Griffin, U.S. Navy  
Lieutenant Commander James Arthur Guimond, U.S. Navy  
Lieutenant Commander Joshua A. Hammond, U.S. Navy  
Lieutenant Commander Alex Hampton, U.S. Navy  
Lieutenant Commander Victor A. Hill, U.S. Navy  
Major Jason C. Honeycutt, U.S. Army  
Major Christopher Allen Hopes, U.S. Army  
Lieutenant Commander David P. Hurn, U.S. Navy  
Major James O. Johnson, U.S. Army  
Lieutenant Commander James Michael Kinter, U.S. Navy  
Lieutenant Commander Jedediah Kloppel, U.S. Navy  
Lieutenant Commander Jennifer Lin Larish, U.S. Navy  
Lieutenant Commander Dustin T. Lonero, U.S. Navy  
Lieutenant Commander James L. Martello, U.S. Navy  
Lieutenant Commander Justin T. McCaffree, U.S. Navy  
Lieutenant Commander Daniel S. McClure, NC, U.S. Navy  
Lieutenant Commander David L. McDevitt, U.S. Navy  
Lieutenant Commander Charles N. McKissick, U.S. Navy  
Lieutenant Commander Stephen James Miller, SC, U.S. Navy  
Lieutenant Commander Chad D. Norris, U.S. Navy

Lieutenant Commander Constantine N. Panayiotou, U.S. Navy  
Lieutenant Commander David Anthony Picinich, U.S. Navy  
Lieutenant Commander James T. Pokorsky, U.S. Navy  
Lieutenant Commander Joseph A. Pommerer, U.S. Navy  
Lieutenant Commander Paul B. Renwick, U.S. Navy  
Lieutenant Commander Daniel W. Robison, U.S. Navy  
Major Jeffrey D. Scaggs, U.S. Army  
Lieutenant Commander Daniel C. Short, U.S. Navy  
Lieutenant Commander Matthew C. Somerville, U.S. Navy  
Major Richard R. Steele, U.S. Marine Corps  
Lieutenant Commander Sean Abram Stein, U.S. Navy  
Lieutenant Commander Kyle B. Thomas, U.S. Navy  
Lieutenant Commander Stanley Carmichael Ware, U.S. Navy  
Major Kendall C. Wells, U.S. Army  
Major Jerry Lee Wood, Jr., U.S. Army  
Lieutenant Commander Alexander Llewellyn Wright, U.S. Navy



## U.S. NAVAL WAR COLLEGE

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The motto of the Naval War College is

*“Victory through Sea Power.”*

The trident rising from the water symbolizes mastery of naval activities—its three tines are evocative of naval warfare on sea, air, and land. The pilot wheel atop the shield alludes to the mission of the college—education in naval warfare. The wheel’s eight spokes symbolize honor, duty, leadership, command, strategy, tactics, logistics, and weapons. The laurel wreath represents achievement of the mission.